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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. | |
|---|-----------------|----------------------|-------------------------|------------------|--|
| 09/891,663 | 06/27/2001 | Todd A. Hall | 7883.0005-04 | 5780 | |
| 22852 | 7590 09/30/2002 | | | | |
| FINNEGAN, HENDERSON, FARABOW, GARRETT & | | | EXAMINER | | |
| DUNNER LLI 1300 I STREE | T, NW | DAVIS, DANIEL J | | | |
| WASHINGTO | ON, DC 20006 | | ART UNIT | PAPER NUMBER | |
| | | | 3731 | | |
| | | • | DATE MAILED: 09/30/2002 | | |

Please find below and/or attached an Office communication concerning this application or proceeding.

| | Application No. | Applicant(s) | | | | |
|---|---|---|-------|--|--|--|
| | 09/891,663 | HALL ET AL. | | | | |
| Office Action Summary | Examiner | Art Unit | | | | |
| | D Jacob Davis | 3731 | | | | |
| The MAILING DATE of this communication app Period for Reply | pears on the cover sheet wit | h the correspondence address - | • | | | |
| A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply - If NO period for reply is specified above, the maximum statutory period v - Failure to reply within the set or extended period for reply will, by statute. - Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b). Status | 36(a). In no event, however, may a rep y within the statutory minimum of thirty will apply and will expire SIX (6) MONT | oly be timely filed (30) days will be considered timely. HS from the mailing date of this communica | tion. | | | |
| 1) Responsive to communication(s) filed on 12 L | December 2001 . | | | | | |
| — | is action is non-final. | | | | | |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. Disposition of Claims | | | | | | |
| 4) Claim(s) 69-108 is/are pending in the application | on. | | | | | |
| 4a) Of the above claim(s) is/are withdraw | vn from consideration. | | | | | |
| 5) Claim(s) is/are allowed. | | | | | | |
| 6)☐ Claim(s) is/are rejected. | | | | | | |
| 7) Claim(s) is/are objected to. | | | | | | |
| 8) Claim(s) 69108 are subject to restriction and/or | election requirement. | | | | | |
| Application Papers | | | | | | |
| 9) The specification is objected to by the Examiner. | | | | | | |
| 10) The drawing(s) filed on is/are: a) □ accept | ted or b)□ objected to by the | Examiner. | | | | |
| Applicant may not request that any objection to the | drawing(s) be held in abeyand | ce. See 37 CFR 1.85(a). | | | | |
| | is: a) ☐ approved b) ☐ disa | approved by the Examiner. | | | | |
| If approved, corrected drawings are required in repl | | | | | | |
| 12) The oath or declaration is objected to by the Exa | miner. | | | | | |
| Priority under 35 U.S.C. §§ 119 and 120 | | | | | | |
| 13) Acknowledgment is made of a claim for foreign | priority under 35 U.S.C. § 1 | 19(a)-(d) or (f). | | | | |
| a) ☐ All b) ☐ Some * c) ☐ None of: | | | | | | |
| 1. Certified copies of the priority documents | have been received. | | | | | |
| 2. Certified copies of the priority documents | have been received in App | lication No | | | | |
| 3. Copies of the certified copies of the priorit application from the International Bure * See the attached detailed Office action for a list of | eau (PCT Rule 17.2(a)). | _ | | | | |
| 14) Acknowledgment is made of a claim for domestic | priority under 35 U.S.C. § 1 | 119(e) (to a provisional applicat | ion). | | | |
| a) The translation of the foreign language provided 15) Acknowledgment is made of a claim for domestic | | | | | | |
| Attachment(s) | 00 | | | | | |
| 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449) Paper No(s) S. Patent and Trademark Office | 5) Notice of Info | nmary (PTO-413) Paper No(s) rmal Patent Application (PTO-152) | | | | |

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Election/Restrictions

Restriction to one of the following inventions is required under 35 U.S.C. 121:

- Claims 69-81, drawn to a delivery catheter, classified in class 606, subclass 191.
- II. Claims 82-93, drawn to a method of turning a distal end of a catheter, classified in class 128, subclass 898.
- III. Çlaims 94-108, drawn to a method for delivering a medical device, classified in class 623, subclass 1.11.

The inventions are distinct, each from the other because of the following reasons:

Inventions Group I and Group II,III are related as product and process of use. The inventions can be shown to be distinct if either or both of the following can be shown: (1) the process for using the product as claimed can be practiced with another materially different product or (2) the product as claimed can be used in a materially different process of using that product (MPEP § 806.05(h)). In the instant case the apparatus may be used in a materially different process, such as dissecting tissue.

Inventions Group II and Group III are unrelated. Inventions are unrelated if it can be shown that they are not disclosed as capable of use together and they have different modes of operation, different functions, or different effects (MPEP § 806.04, MPEP § 808.01). In the instant case the different inventions have different modes of operation. Group II actuates an anchoring member, while Group III does not.

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Because these inventions are distinct for the reasons given above and have acquired a separate status in the art as shown by their different classification, restriction for examination purposes as indicated is proper.

Applicant is required under 35 U.S.C. 121 to elect a single disclosed species, even though this requirement is traversed.

Should applicant traverse on the ground that the species are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103(a) of the other invention.

Please elect one catheter and a mating guidewire:

| <u>SPECIES</u> | <u>FIGURES</u> | | |
|----------------|----------------|--|--|
| Catheters: | | | |
| 1-1 | 9-11 | | |
| 1-2 | 12 | | |
| 1-3 | 13A-13B | | |
| 1-4 | 14A-14B | | |
| 1-5 | 15A-15B | | |
| 1-6 | 15C-D | | |
| 1-7 | 16 | | |

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1-8 20-21

1-9 22-23

Guidwires:

| 2-1 | 11 | 12D | 1 A D | 4 E D | 150 |
|-----|-----|------|-------|-------|------|
| 2-1 | 11, | 13B, | -14D, | , סכו | ุเจบ |

2-2 12

2-4 17

2-5 18A-18B

2-6 19A-C

2-7 24

A telephone call was made to Suzanne Jones on September 24, 2002 to request an oral election to the above restriction requirement, but did not result in an election being made.

Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).



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Any inquiry concerning this communication or earlier communications from the examiner should be directed to D Jacob Davis whose telephone number is (703) 305-1232. The examiner can normally be reached on M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael Milano can be reached on (703) 308-2496. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 305-3590 for regular communications and (703) 305-3590 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-0858.

DJD September 25, 2002

> DAVID O. REIP PRIMARY EXAMINER